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|--|--|---|--|---|--|
| FORM PTO-1390<br>(REV. 01-2003)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>407T-301110US                           |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>         DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>         CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/520207</b> |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/US03/09988  |  | INTERNATIONAL FILING DATE<br>April 1, 2003              |  | PRIORITY DATE CLAIMED<br>April 2, 2002                              |  |
| TITLE OF INVENTION    G-TYPE PEPTIDES TO AMELIORATE ATHEROSCLEROSIS  |  |   |  |   |  |
| APPLICANT(S) FOR DO/EO/US    Alan M. Fogelman  |  |   |  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |   |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.   |  |   |  |   |  |
| 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.  |  |   |  |   |  |
| 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |   |  |   |  |
| 4. <input checked="" type="checkbox"/> The US has been elected (Article 31).   |  |   |  |   |  |
| 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |   |  |   |  |
| a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).   |  |   |  |   |  |
| b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.  |  |   |  |   |  |
| c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |   |  |   |  |
| 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |  |   |  |   |  |
| a. <input type="checkbox"/> is attached hereto.  |  |   |  |   |  |
| b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).   |  |   |  |   |  |
| 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))   |  |   |  |   |  |
| a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).   |  |   |  |   |  |
| b. <input type="checkbox"/> have been communicated by the International Bureau.  |  |   |  |   |  |
| c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.  |  |   |  |   |  |
| d. <input type="checkbox"/> have not been made and will not be made.   |  |   |  |   |  |
| 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).   |  |   |  |   |  |
| 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |   |  |   |  |
| 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                              |  |   |  |   |  |
| <b>Items 11 to 20 below concern document(s) or information included:</b>   |  |   |  |   |  |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |   |  |   |  |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |   |  |   |  |
| 13. <input type="checkbox"/> A preliminary amendment.  |  |   |  |   |  |
| 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.   |  |   |  |   |  |
| 15. <input type="checkbox"/> A substitute specification.   |  |   |  |   |  |
| 16. <input type="checkbox"/> A power of attorney and/or change of address letter.  |  |   |  |   |  |
| 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.  |  |   |  |   |  |
| 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).   |  |   |  |   |  |
| 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |   |  |   |  |
| 20. <input checked="" type="checkbox"/> Other items or information:  |  |   |  |   |  |
| International Search Report  |  |   |  |   |  |

U.S. APPLICATION OF THE INVENTION (37 CFR 1.60)

INTERNATIONAL APPLICATION NO.  
PCT/US03/09988ATTORNEY'S DOCKET NUMBER  
407T-301110US

10/520207

21. ☐ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1110.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$950.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$750.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 100.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

\$

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE       |
|---|--------------|--------------|------------|
| Total claims                                | 115 - 20 =   | 95           | x \$18.00  |
| Independent claims                          | 3 - 3 =      | 0            | x \$88.00  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              | + \$300.00 |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>        |              |              | \$ 1810.00 |

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\$ 1710.00

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**TOTAL OF ABOVE CALCULATIONS =**

\$ 1810.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$

+

**SUBTOTAL =**

\$ 1810.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 1810.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$

Amount to be  
refunded:

\$

charged:

\$ 1810.00

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.b. ☒ Please charge my Deposit Account No. 50-0893 in the amount of \$ 905.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 50-0893. A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)  
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Tom Hunter  
Quine Intellectual Property Law Group, P.C.  
P.O. BOX 458  
Alameda, CA 94501  
United States of America

SIGNATURE

Tom Hunter

NAME

38,498

REGISTRATION NUMBER

Certificate of Mailing


Attorney Docket No.: 407T-301110US

"Express Mail" Label No.: EV331047434US

Date of Deposit: 27 December 2004

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

  
Juliana Hermes

Enclosed:

- PTO form 1390 (w/duplicate)
- Application Data Sheet
- Copy of PCT Publication
- Unsigned Declaration
- Petition for Revival of an International Application (w/duplicate)
- Postcard